Distances between C(K) spaces

Jakub Rondoš

Department of Mathematical Analysis, Faculty of Mathematics and Physics, Charles University

jakub.rondos@gmail.com

July 19, 2023

Let E_1 , E_2 be Banach spaces. We define:

< 1 k

돌▶ 돌

Let E_1 , E_2 be Banach spaces. We define:

(i) $d_{BM}(E_1, E_2) = \inf\{||T|| ||T^{-1}|| : T : E_1 \to E_2 \text{ is a surjective} (bounded, linear) isomorphism} (the Banach-Mazur distance),$

Let E_1 , E_2 be Banach spaces. We define:

- (i) $d_{BM}(E_1, E_2) = \inf\{ \|T\| \|T^{-1}\| : T : E_1 \to E_2 \text{ is a surjective} (bounded, linear) isomorphism} (the Banach-Mazur distance),$
- (ii) $d_{Lip}(E_1, E_2) = \inf\{Lip(T)Lip(T^{-1}) : T : E_1 \to E_2 \text{ is a bi-Lispchitz bijection }\}$ (the Lipschitz distance),

Let E_1 , E_2 be Banach spaces. We define:

- (i) $d_{BM}(E_1, E_2) = \inf\{ \|T\| \|T^{-1}\| : T : E_1 \to E_2 \text{ is a surjective} (bounded, linear) isomorphism} (the Banach-Mazur distance),$
- (ii) $d_{Lip}(E_1, E_2) = \inf \{ Lip(T)Lip(T^{-1}) : T : E_1 \to E_2 \text{ is a bi-Lispchitz bijection } \}$ (the Lipschitz distance),
- (iii) $d_u(E_1, E_2) = \inf\{l_{\infty}(T)l_{\infty}(T^{-1}) : T : E_1 \to E_2 \text{ is a uniform homeomorphism }\}$, (the uniform distance), where

$$I_{\infty}(T) = \inf_{\theta > 0} (\sup_{\|x - y\| \ge \theta} \left\| \frac{Tx - Ty}{x - y} \right\|),$$

(the Lipschitz constant at infinity)

Let E_1 , E_2 be Banach spaces. We define:

- (i) $d_{BM}(E_1, E_2) = \inf\{ \|T\| \|T^{-1}\| : T : E_1 \to E_2 \text{ is a surjective} (bounded, linear) isomorphism} (the Banach-Mazur distance),$
- (ii) $d_{Lip}(E_1, E_2) = \inf \{ Lip(T)Lip(T^{-1}) : T : E_1 \to E_2 \text{ is a bi-Lispchitz bijection } \}$ (the Lipschitz distance),
- (iii) $d_u(E_1, E_2) = \inf\{I_{\infty}(T)I_{\infty}(T^{-1}) : T : E_1 \to E_2 \text{ is a uniform homeomorphism }\}$, (the uniform distance), where

$$l_{\infty}(T) = \inf_{\theta>0}(\sup_{\|x-y\|\geq\theta}\left\|\frac{Tx-Ty}{x-y}\right\|),$$

(the Lipschitz constant at infinity)

and the distances are defined to be ∞ if the spaces E_1, E_2 are not linearly isomorphic, Lipschitz isomorphic, or uniformly homeomorphic, respectively.

Let E_1 , E_2 be Banach spaces. We define:

- (i) $d_{BM}(E_1, E_2) = \inf\{ \|T\| \|T^{-1}\| : T : E_1 \to E_2 \text{ is a surjective} (bounded, linear) isomorphism} (the Banach-Mazur distance),$
- (ii) $d_{Lip}(E_1, E_2) = \inf \{ Lip(T)Lip(T^{-1}) : T : E_1 \to E_2 \text{ is a bi-Lispchitz bijection } \}$ (the Lipschitz distance),
- (iii) $d_u(E_1, E_2) = \inf\{I_{\infty}(T)I_{\infty}(T^{-1}) : T : E_1 \to E_2 \text{ is a uniform homeomorphism }\}$, (the uniform distance), where

$$l_{\infty}(T) = \inf_{\theta > 0} (\sup_{\|x-y\| \ge \theta} \left\| \frac{Tx - Ty}{x-y} \right\|),$$

(the Lipschitz constant at infinity)

and the distances are defined to be ∞ if the spaces E_1, E_2 are not linearly isomorphic, Lipschitz isomorphic, or uniformly homeomorphic, respectively. If T is a bounded linear operator, then Lip(T) = ||T|| and if T is Lipschitz, then $l_{\infty}(T) \leq Lip(T)$, and thus

$$d_u(E_1, E_2) \leq d_{Lip}(E_1, E_2) \leq d_{BM}(E_1, E_2).$$

Some of the most important results concerning the comparison of various distances between C(K) spaces are the following:

Some of the most important results concerning the comparison of various distances between C(K) spaces are the following:

(i) A C(K) space is uniformly homeomorphic to $C([0, \omega])$ iff it linearly isomorphic to it (Johnson, Lindenstrauus, Schechtman, 1996), that is,

 $d_{BM}(\mathcal{C}([0,\omega]),\mathcal{C}(\mathcal{K})) < \infty \iff d_u(\mathcal{C}([0,\omega]),\mathcal{C}(\mathcal{K})) < \infty.$

Some of the most important results concerning the comparison of various distances between C(K) spaces are the following:

(i) A C(K) space is uniformly homeomorphic to $C([0, \omega])$ iff it linearly isomorphic to it (Johnson, Lindenstrauus, Schechtman, 1996), that is,

 $d_{BM}(\mathcal{C}([0,\omega]),\mathcal{C}(\mathcal{K})) < \infty \Longleftrightarrow d_u(\mathcal{C}([0,\omega]),\mathcal{C}(\mathcal{K})) < \infty.$

(ii) A Banach space E is Lipschitz isomorphic to $C([0, \omega])$ iff it linearly isomorphic to it (Godefroy, Calton, Lancien, 2000), that is,

 $d_{BM}(\mathcal{C}([0,\omega]), E)) < \infty \iff d_{Lip}(\mathcal{C}([0,\omega]), E) < \infty.$

Some of the most important results concerning the comparison of various distances between C(K) spaces are the following:

(i) A C(K) space is uniformly homeomorphic to $C([0, \omega])$ iff it linearly isomorphic to it (Johnson, Lindenstrauus, Schechtman, 1996), that is,

 $d_{BM}(\mathcal{C}([0,\omega]),\mathcal{C}(\mathcal{K})) < \infty \Longleftrightarrow d_u(\mathcal{C}([0,\omega]),\mathcal{C}(\mathcal{K})) < \infty.$

(ii) A Banach space E is Lipschitz isomorphic to $C([0, \omega])$ iff it linearly isomorphic to it (Godefroy, Calton, Lancien, 2000), that is,

 $d_{BM}(\mathcal{C}([0,\omega]), E)) < \infty \iff d_{Lip}(\mathcal{C}([0,\omega]), E) < \infty.$

(iii) There exists a C(K) space which Lipschitz isomorphic to $c_0(\omega_{\omega})$ but not linearly isomorphic to it (Marciszewski, 2003).

イロト イヨト イヨト ・

Some of the most important results concerning the comparison of various distances between C(K) spaces are the following:

(i) A C(K) space is uniformly homeomorphic to $C([0, \omega])$ iff it linearly isomorphic to it (Johnson, Lindenstrauus, Schechtman, 1996), that is,

 $d_{BM}(\mathcal{C}([0,\omega]),\mathcal{C}(\mathcal{K})) < \infty \Longleftrightarrow d_u(\mathcal{C}([0,\omega]),\mathcal{C}(\mathcal{K})) < \infty.$

(ii) A Banach space E is Lipschitz isomorphic to $C([0, \omega])$ iff it linearly isomorphic to it (Godefroy, Calton, Lancien, 2000), that is,

 $d_{BM}(\mathcal{C}([0,\omega]), E)) < \infty \iff d_{Lip}(\mathcal{C}([0,\omega]), E) < \infty.$

- (iii) There exists a C(K) space which Lipschitz isomorphic to $c_0(\omega_{\omega})$ but not linearly isomorphic to it (Marciszewski, 2003).
 - The problem of determining which compact spaces L can replace
 [0, ω] in the above results is wide open.

Jakub Rondoš (MFF)

XXII Lluís Santaló School 2023

About the Banach-Mazur distance

 Among the distances between C(K) spaces, the Banach-Mazur distance is by far the most understood one (and still, there is not so much known about it).

About the Banach-Mazur distance

- Among the distances between C(K) spaces, the Banach-Mazur distance is by far the most understood one (and still, there is not so much known about it).
- The only values of the Banach-Mazur distance that are known to be attained between C(K) spaces are 1, 2 and 3.

About the Banach-Mazur distance

- Among the distances between C(K) spaces, the Banach-Mazur distance is by far the most understood one (and still, there is not so much known about it).
- The only values of the Banach-Mazur distance that are known to be attained between C(K) spaces are 1, 2 and 3.
- Further, whenever a C(K) space is isomorphic to c₀, then d_{BM}(C(K), c₀) is an odd integer, and for each odd natural number m other than 1 there exists a C(K) space such that d_{BM}(c₀, C(K)) = m (Candido, 2018).

- Among the distances between C(K) spaces, the Banach-Mazur distance is by far the most understood one (and still, there is not so much known about it).
- The only values of the Banach-Mazur distance that are known to be attained between C(K) spaces are 1, 2 and 3.
- Further, whenever a C(K) space is isomorphic to c₀, then d_{BM}(C(K), c₀) is an odd integer, and for each odd natural number m other than 1 there exists a C(K) space such that d_{BM}(c₀, C(K)) = m (Candido, 2018).

Problem (probably due to Pelczynski)

Is the Banach-Mazur distance between two isomorphic C(K) spaces always an integer?

• K_1, K_2 are homeomorphic $\iff C(K_1), C(K_2)$ are isometric (Banach-Stone, 1937).

- K_1, K_2 are homeomorphic $\iff C(K_1), C(K_2)$ are isometric (Banach-Stone, 1937).
- K₁, K₂ are homeomorphic ⇔ d_{BM}(C(K₁), C(K₂)) < 2 (independently Amir, 1965 and Cambern, 1966). Thus, there are no compact spaces K₁, K₂ such that 1 < d_{BM}(C(K₁), C(K₂)) < 2.

- K_1, K_2 are homeomorphic $\iff C(K_1), C(K_2)$ are isometric (Banach-Stone, 1937).
- K₁, K₂ are homeomorphic ⇔ d_{BM}(C(K₁), C(K₂)) < 2 (independently Amir, 1965 and Cambern, 1966). Thus, there are no compact spaces K₁, K₂ such that 1 < d_{BM}(C(K₁), C(K₂)) < 2.
- (Cohen, 1975) and (Chu, Cohen, 1995) provided examples of pairs of C(K) spaces where the distance 2 is attained.

The nonlinear distance 2

 Several authors have been working on nonlinear versions of the Amir-Cambern theorem, in the sense that they proved results saying that if a certain nonlinear distance between C(K) spaces is small, then the underlying compact spaces must be homeomorphic. There were results in this direction proved by (Jarosz, 1989), (Dutrieux and Kalton, 2005), (Górak, 2011), and (Galego and Porto da Silva, 2016).

The nonlinear distance 2

- Several authors have been working on nonlinear versions of the Amir-Cambern theorem, in the sense that they proved results saying that if a certain nonlinear distance between C(K) spaces is small, then the underlying compact spaces must be homeomorphic. There were results in this direction proved by (Jarosz, 1989), (Dutrieux and Kalton, 2005), (Górak, 2011), and (Galego and Porto da Silva, 2016).
- The strongest and most general result so far was proved in (Galego and Porto da Silva, 2016), which in particular proves the equivalence

 K_1, K_2 are homeomorphic $\iff d_u(\mathcal{C}(K_1), \mathcal{C}(K_2)) < 2$

valid for all compact spaces K_1, K_2 (recall that this constant is optimal even in the linear case).

The nonlinear distance 2

- Several authors have been working on nonlinear versions of the Amir-Cambern theorem, in the sense that they proved results saying that if a certain nonlinear distance between C(K) spaces is small, then the underlying compact spaces must be homeomorphic. There were results in this direction proved by (Jarosz, 1989), (Dutrieux and Kalton, 2005), (Górak, 2011), and (Galego and Porto da Silva, 2016).
- The strongest and most general result so far was proved in (Galego and Porto da Silva, 2016), which in particular proves the equivalence

 K_1, K_2 are homeomorphic $\iff d_u(\mathcal{C}(K_1), \mathcal{C}(K_2)) < 2$

valid for all compact spaces K_1, K_2 (recall that this constant is optimal even in the linear case).

 \bullet It follows that the spaces $\mathcal{C}({\cal K}_1), \mathcal{C}({\cal K}_2)$ mentioned in the previous slide satisfy

$$d_u(\mathcal{C}(\mathcal{K}_1),\mathcal{C}(\mathcal{K}_2))=d_{Lip}(\mathcal{C}(\mathcal{K}_1),\mathcal{C}(\mathcal{K}_2))=d_{BM}(\mathcal{C}(\mathcal{K}_1),\mathcal{C}(\mathcal{K}_2))=2.$$

Derivatives

In the rest of the talk, only the Banach-Mazur distance will be considered (because there is nothing in this direction known about nonlinear distances).

In the rest of the talk, only the Banach-Mazur distance will be considered (because there is nothing in this direction known about nonlinear distances).

If K is compact and α an ordinal, then the Cantor-Bendixon derivative of K of order α is

$$\begin{split} & \mathcal{K}^{(0)} = \mathcal{K}, \\ & \mathcal{K}^{(1)} = \{ x \in \mathcal{K} : x \text{ is an accumulation point of } \mathcal{K} \}, \\ & \mathcal{K}^{(\alpha)} = (\mathcal{K}^{\beta})^{(1)}, \quad \alpha = \beta + 1, \\ & \mathcal{K}^{(\alpha)} = \bigcap_{\beta < \alpha} \mathcal{K}^{(\beta)}, \quad \alpha \text{ limit.} \end{split}$$

K is scattered if there exists α such that $K^{(\alpha)} = \emptyset$. In that case, the smallest ordinal α such that $K^{(\alpha)} = \emptyset$ is called *height* of K, denoted by ht(K) (and it is a successor ordinal).

• If there exists an ordinal α such that $\left| \mathcal{K}_{1}^{(\alpha)} \right| \neq \left| \mathcal{K}_{2}^{(\alpha)} \right|$, then $d_{BM}(\mathcal{C}(\mathcal{K}_{1}), \mathcal{C}(\mathcal{K}_{2})) \geq 3$ (Gordon, 1970).

- If there exists an ordinal α such that $\left|K_{1}^{(\alpha)}\right| \neq \left|K_{2}^{(\alpha)}\right|$, then $d_{BM}(\mathcal{C}(K_{1}), \mathcal{C}(K_{2})) \geq 3$ (Gordon, 1970).
- This applies in particular in the following cases:
 - (i) K_1, K_2 are scattered compact spaces of different heights,
 - (ii) K_1, K_2 are nonhomeomorphic countable compact spaces (because the homeomorphism class of each countable compact space is uniquely determined by its height and the cardinality of the highest nonempty derivative (Mazurkiewicz, Sierpinski, 1920)).

- If there exists an ordinal α such that $\left|K_{1}^{(\alpha)}\right| \neq \left|K_{2}^{(\alpha)}\right|$, then $d_{BM}(\mathcal{C}(K_{1}), \mathcal{C}(K_{2})) \geq 3$ (Gordon, 1970).
- This applies in particular in the following cases:
 - (i) K_1, K_2 are scattered compact spaces of different heights,
 - (ii) K_1, K_2 are nonhomeomorphic countable compact spaces (because the homeomorphism class of each countable compact space is uniquely determined by its height and the cardinality of the highest nonempty derivative (Mazurkiewicz, Sierpinski, 1920)).
- It holds $d_{BM}(\mathcal{C}([0, \omega]), \mathcal{C}([0, \omega 2])) = 3$ (Gordon, 1970).

The distance between $C([0, \omega])$ and $C([0, \omega2])$

• It holds $d_{BM}(C([0, \omega]), C([0, \omega 2])) = 3.$

∃ >

< 1 k

The distance between $C([0, \omega])$ and $C([0, \omega2])$

• It holds $d_{BM}(\mathcal{C}([0, \omega]), \mathcal{C}([0, \omega 2])) = 3.$

Proof.

Since
$$|[0,\omega]^{(1)}| = |\{\omega\}| = 1$$
 and $|[0,\omega2]^{(1)}| = |\{\omega,\omega2\}| = 2$,
 $d_{BM}(\mathcal{C}([0,\omega]),\mathcal{C}([0,\omega2])) \ge 3$ by the theorem of Gordon.
On the other hand, it is elementary to check that the mapping
 $T : \mathcal{C}([0,\omega2]) \rightarrow \mathcal{C}([0,\omega])$ given by

$$Tf(1) = f(\omega) - f(\omega 2)$$

$$Tf(2m) = f(m) - \frac{1}{2}(f(\omega) - f(\omega 2)), \quad m \in \mathbb{N},$$

$$Tf(2m+1) = f(\omega + m) + \frac{1}{2}(f(\omega) - f(\omega 2)), \quad m \in \mathbb{N},$$

$$Tf(\omega) = \frac{1}{2}(f(\omega) - f(\omega 2))$$

is a surjective isomorphism with ||T|| = 2 and $||T^{-1}|| = \frac{3}{2}$.

(Candido, Galego, 2013) estimated the distance of C([0, ω]) from the other C(K) spaces isomorphic to it with an error of at most 2. Some of their methods were improved in unpublished notes of Cuth and his student Havelka. The way to find the upper estimates is achieved by using regular matrices to construct certain isomorphisms between the respective spaces (the isomorphism from the previous slide

corresponds in this setting to the matrix $\left(\right)$

$$\begin{pmatrix} 1 & -1 \\ \frac{1}{2} & \frac{1}{2} \end{pmatrix}).$$

(Candido, Galego, 2013) estimated the distance of C([0, ω]) from the other C(K) spaces isomorphic to it with an error of at most 2. Some of their methods were improved in unpublished notes of Cuth and his student Havelka. The way to find the upper estimates is achieved by using regular matrices to construct certain isomorphisms between the respective spaces (the isomorphism from the previous slide

corresponds in this setting to the matrix (

$$\begin{pmatrix} 1 & -1 \\ \frac{1}{2} & \frac{1}{2} \end{pmatrix}).$$

It is well-known that a C(K) space is isomorphic to C([0, ω]) iff K is homeomorphic to [0, ω^αm] for some α, m ∈ N (Bessaga, Pelczynski, 1960).

The following estimates are known:

3 N 3

Distances from $\mathcal{C}([0, \omega])$

The following estimates are known:

```
d_{BM}(\mathcal{C}([0, \omega]), \mathcal{C}([0, \omega 2])) = 3 (Gordon, 1970).
```

The following estimates are known:

$$d_{BM}(\mathcal{C}([0,\omega]),\mathcal{C}([0,\omega2])) = 3 \quad (\text{Gordon}, 1970).$$

 $3 \leq d_{BM}(\mathcal{C}([0,\omega]),\mathcal{C}([0,\omega3])) \leq 4$ (Cuth, unpublished).

The following estimates are known:

$$d_{BM}(\mathcal{C}([0,\omega]), \mathcal{C}([0,\omega2])) = 3$$
 (Gordon, 1970).

 $3 \leq d_{BM}(\mathcal{C}([0,\omega]),\mathcal{C}([0,\omega3])) \leq 4$ (Cuth, unpublished).

If m > 3, then

 $3 \leq d_{BM}(\mathcal{C}([0,\omega]),\mathcal{C}([0,\omega m])) \leq 2 + \sqrt{5}$ (Candido, Galego, 2013).

11/13

The following estimates are known:

$$d_{BM}(\mathcal{C}([0,\omega]), \mathcal{C}([0,\omega2])) = 3$$
 (Gordon, 1970).

 $3\leq d_{BM}(\mathcal{C}([0,\omega]),\mathcal{C}([0,\omega3]))\leq 4$ (Cuth, unpublished). If m>3, then

 $3 \leq d_{BM}(\mathcal{C}([0,\omega]),\mathcal{C}([0,\omega m])) \leq 2 + \sqrt{5}$ (Candido, Galego, 2013). If $\alpha \in \mathbb{N}$, then

$$2\alpha - 1 \leq d_{BM}(\mathcal{C}([0, \omega]), \mathcal{C}([0, \omega^{\alpha}])) \leq \alpha + \sqrt{(\alpha - 1)(\alpha + 3)}, \text{ and}$$

 $2\alpha + 1 \leq d_{BM}(\mathcal{C}([0, \omega]), \mathcal{C}([0, \omega^{\alpha}m])) \leq \alpha + 1 + \sqrt{(\alpha(\alpha + 4))}$
 $f(m > 1.(Candido, Galego, 2013).$

if

• The lower bounds from the previous slide were generalized to the context of general scattered spaces in (R., 2021) and (R., Somaglia, 2022) (here the distance is estimated from below based on the heights of the compact spaces), and

- The lower bounds from the previous slide were generalized to the context of general scattered spaces in (R., 2021) and (R., Somaglia, 2022) (here the distance is estimated from below based on the heights of the compact spaces), and
- in a recent preprint (R., 2023) further generalized to spaces that need not be scattered (here the distance is estimated from below based on a certain cardinal invariant of Cantor-Bendixon derivatives of the compact spaces).

Thank you.

< 1 k

æ