

From property (β) of Rolewicz  
to metric geometry

In 1987, Stefan Rolewicz introduced a geometric property of the norm of a Banach space in relation to well-posedness of optimization problems, called the property (β).

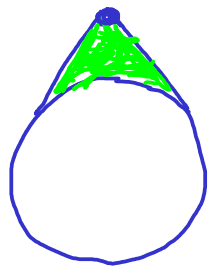
Kuratowski measure of noncompactness of a set  $A$  in a metric space

$$\mathcal{L}(A) = \inf \left\{ \varepsilon > 0 : \exists \text{ finite cover } A \subseteq \bigcup_{i=1}^n A_i, \text{ diam}(A_i) < \varepsilon \right\}$$

Let  $X$  be a Banach space with a closed unit ball  $B$ .

Let  $x \in X, \|x\| > 1$ .

drop  $D(x, B) = \text{conv}(x, B), R(x, B) = D(x, B) \setminus B$



$\|\cdot\|$  has property  $(\beta)$  if  $\forall \epsilon > 0$

$\exists \delta > 0$  s.t.  $1 < \|x\| < 1 + \delta \Rightarrow \alpha(R(x, B)) < \epsilon$

(i.e.  $\alpha(R(x, B)) \rightarrow 0$  uniformly in  $X, \|x\| \rightarrow 1$ )

[R]  $UC \Rightarrow (\beta) \Rightarrow NUC \Rightarrow \text{reflexivity}$   
(Huff)

$\Leftarrow$   $\Leftarrow$  isometrically  
question about isomorphically

[Montesinos-Torregrosa]  $\Leftarrow$  new isomorphic class  
[K] [K] between superreflexive  
and reflexive

# Asymptotic moduli [Milman]

named by [Johnson-Lindenstrauss-Preiss-Schechtman]

Let  $X$  be a Banach space,  $t > 0$ .

$$\text{AUC modulus } \overline{\delta}_X(t) = \inf_{\|x\|=1} \sup_{\text{codim}(Y) < \infty} \inf_{\substack{\|y\|=1 \\ y \in Y}} (\|x + ty\| - 1)$$

$\|\cdot\|$  is AUC if  $\forall t > 0, \overline{\delta}_X(t) > 0$ .

$$\text{AUS modulus } \underline{\delta}_X(t) = \sup_{\|x\|=1} \inf_{\text{codim}(Y) < \infty} \sup_{\substack{\|y\|=1 \\ y \in Y}} (\|x + ty\| - 1)$$

$$\text{NUC} = \text{AUC} + \text{reflexivity}$$

$$\text{NUS} = \text{AUS} + \text{reflexivity}$$

introduced by Prus

[P] characterized NUC and NUS isomorphically for spaces with bases in terms of  $(p, q)$ -estimates 1989

[K1] 1991 isometric characterization

$\|\cdot\|$  has property  $(\beta)$  if  $\forall \varepsilon > 0 \exists \delta > 0$  s.t.  $\forall \|x\| < 1$   
and  $(x_n), \|x_n\| \leq 1$  with  $\text{sep}((x_n)) = \inf\{\|x_n - x_m\| : n \neq m\} > \varepsilon,$

$\exists n_k$  s.t.  $\|(x + x_{n_k})/2\| < 1 - \delta.$

same time [K2] isometrically  $(\beta) \not\Rightarrow$  NUS, but  $\exists$  equiv. norm with  $(\beta)$

$\Leftrightarrow \exists$  eq. NUC +  $\exists$  eq. NUS  
(for spaces with a basis)

[Dilworth - K. - Lancien - Randrianarivony] 2017

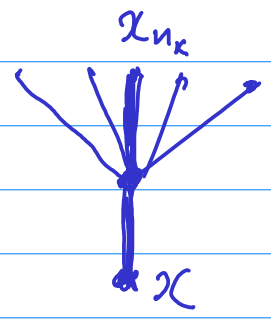
true for all Banach spaces  
uses [K2], [Knaust - Odell - Schlumprecht], Szlenk index

back to isometric characterization. Take  $(-x_n)$

$\forall \varepsilon > 0 \exists \delta > 0$  s.t.  $\forall x \in B, \forall (x_n) \subseteq B$

with  $\inf\{\text{dist}(x_n, x_m) : n \neq m\} > \varepsilon, \exists n_k$  s.t.  $\text{dist}(x, x_{n_k}) > 2(1 - \delta)$   
metric

[Lima-Randrianarivony] 2012 used this definition to answer a 10-y-old question of [Bates-J-L-P-S] from metric geometry: a Banach space which is a uniform quotient of  $l_p$ ,  $1 < p < 2$  is linearly isomorphic to a linear quotient of  $l_p$ . For  $p > 2$  [JLPS] and [Mendel-Naor] (Markov convexity)



[Bandier - Gartland] umbel convexity

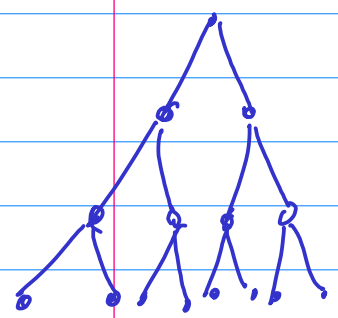
$(X, d_X), (Y, d_Y)$  metric spaces.  $f: X \rightarrow Y$  is a bi-Lip embedding if  $\exists s > 0$  (scaling) and  $\exists D \geq 1$  (distortion factor)

$$s \cdot d_X(x, y) \leq d_Y(f(x), f(y)) \leq D \cdot s \cdot d_X(x, y)$$

A natural way to understand the geometry of a metric space is to study in which metric spaces, in particular Banach spaces, it does or does not bi-Lipschitzly embed. (Also the converse point of view).

Rife program

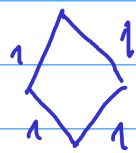
[Bourgain] 1986 B-space  $X$  is not superreflexive  $\iff$  binary trees of any height uniformly bi-Lip. embed in  $X$



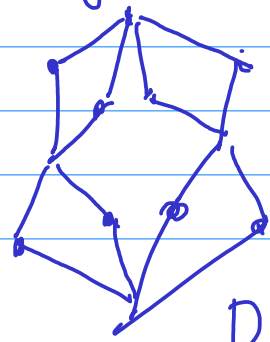
[Johnson - Schechtman] 2009

binary diamonds, Laakso graphs

$\uparrow$



$D_1$



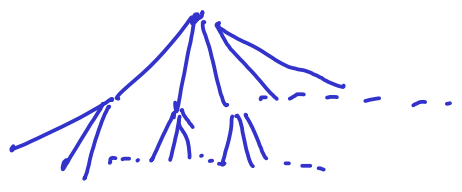
$D_2$

[Ostrovskii - Randrianantoana]  
 $k$ -branching diamonds  
 [Swift] generated by bundle graph

less clear asymptotic Ribe program.

[Baudier - Kalton - Lancien] 2010 proved in particular for a reflexive space  $X$ , one can bi-Lip. embed countably branching trees  $\iff X$  has no equiv. AUC norm or  $X$  has no eq. AUS norm.

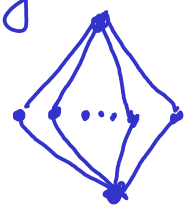
thus,  $X$  has equiv. norm with property  $(\beta) \iff$  one can not bi-Lip. embed count. branching trees.



asymptotic superreflexive spaces

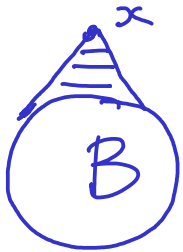
[BKL] extended by Yoël Perreau to quasi-reflexive spaces.

What about characterizing  $(\beta)$  by countably branching diamonds?



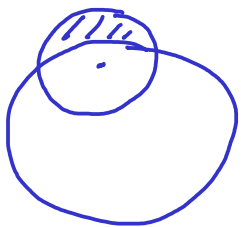
[Revalski - Zhivkov] 2012 Compact UC (again, <sup>-8-</sup> coming from optimizations)  
 = (isometrically)  $(\beta)$

[DKRRZ]



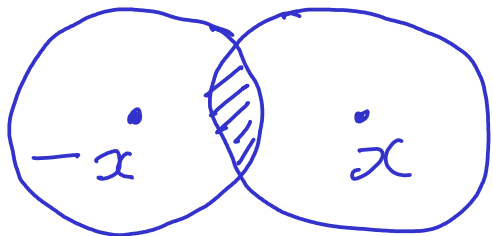
$\text{diam}(R(x)) \rightarrow 0 = \text{UC}$   
 unif. in  $\|x\| \rightarrow 1$

$\alpha(R(x)) \rightarrow 0 = (\beta)$



$\text{diam}(I) \rightarrow 0 = \text{UC}$   
 $\alpha(I) \rightarrow 0 = \beta$

What about this lens  $(x, \delta) = B[x, 1+\delta] \cap B[-x, 1+\delta]$



$\text{diam}(\text{lens}(x, \delta)) \rightarrow 0 = \text{UC}$   
 uniformly in  $\delta \rightarrow 0$

(\*)  $\alpha(\text{lens}(x, \delta)) \rightarrow 0 = (\beta)?$



answer: NO [DKRRZ2]

Definition. AMUC = (\*)

asymptotic midpoint UC

AMUC modulus of  $(X, \|\cdot\|)$ . Let  $t > 0$

$$\tilde{\delta}_X(t) = \inf_{\|x\|=1} \sup_{\text{codim}(Y) < \infty} \inf_{\|y\|=1} \left( \max\{\|x+ty\|, \|x-ty\|\} - 1 \right)$$

$$AMUC \Leftrightarrow \forall t > 0, \tilde{\delta}_X(t) > 0$$

Clearly, AUC  $\Rightarrow$  AMUC

$\not\Leftarrow$  isometrically

$\Leftarrow$  isomorphically under some unconditionality type conditions

Question: Are they isomorphically equivalent?

[ Bandier - Causey - Dilworth - K. - Randrianarivony -  
Schlumprecht - Zhang ]

Theorem. If  $X$  has an equivalent AMUC norm,  
then countably branching diamonds (actually,  
more general graphs)  
do NOT uniformly bi-Lip embed in  $X$ .

Corollary. countably branching diamonds  
do not characterize

asymptotic superreflexive spaces  
(that is those with equivalent  $(\beta)$   
norm)

Thank you!

Also, thanks to the organizers!  
(in case I forgot to say that  
in the beginning of my  
talk)